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	INVESTIGA VI	TION OF BRATION	PRESENT AND ENVIRONMENT	FUTURE			S ^r .
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INVESTIGATION	OF	PRESENT	AND	FUTURE

PREFACE

VIBRATION ENVIRONMENT

In January 1971,
was awarded a contract to investigate the vibration environment
as it affects operation of specialized equipment. The equipment is of
a nature that present ambient vibration levels are limiting its performance
capabilities. In some areas of the structure, operations have been com-
pletely disrupted and have had to be relocated to other portions of the
building. The sources of the detrimental vibrations include mechanical
equipment street traffic, railroad traffic, people walking
in the building and normal activities such as opening and closing doors. To
further complicate the environment, a new subway system is proposed im-
mediately adjacent Therefore, in view of the known sensitivity
of the present equipment to existing vibration levels, it appeared likely
that the operations of the new subway may very well produce a vibration
environment which would severely limit the operating capabilities of certain
instruments.

Phase I of this investigation, which deals with ambient vibration conditions, is completed and summarized herein. The data and detailed descriptions of each group of measurements will be submitted in Appendix A, as a separate volume. Phase II, which deals with the effects of the subway, is essentially completed, except for a few additional computer runs which are considered important. These will be reported in Appendix B as a separate volume. Nevertheless, sufficient analysis has been completed to draw firm predictions regarding the vibration environment after the subway is completed.

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	PHASE I INVESTIGATION OF AMBIENT VIBRATION			
4		ţ.		
	INTRODUCTIO	ON	* * * * * * * * * * * * * * * * * * *	V 1
Phase I of t	he total investigat	ion deals	with the existing	
vibration environment			ed in the Preface,	
houses vi			uipment which is pres	
being discurbed by sev				
				e I
is to define accurately				
structure, auxiliary e	quipment or the pro	ce s s equi _l	pment itself to allev	iate '3
the situation and to pr	covide a basis for	assessing	the effects of a pro	posed
subway system which is				er i j
As an initia	step in Phase I,	a measuren	ment program was cond	ucted
throughout	in the early part		Vibration measureme	
were taken at the locat			, • A	
numerous vibration sour				at
oscilloscope, and an os				
displaying two traces,				
and frequency at two lo	cations. In most o	ases, the	vibrations producing	3
the greatest effects ar	e usually at a pred	ominant f	requency which can be	
readily determined from	the type of measur	ements ob	tained for this inves	+1_

The subsequent sections of this report briefly discuss the main sources of disturbing vibrations, with comments regarding their probable

Offect on the process equipment, and the results of measurements on a particularly

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sensitive work bench. The details of vibratio	n traces for all groups
of measurements are contained in Appendix A.	
SOURCES OF VIBRATION	
Many sources of vibration exist with	nin the area, and
no single piece of equipment or system can be	considered as the major source.
For the most part, the vibration levels are re	latively low, but usually with a
predominant frequency. Generally, it is possi	ble to identify a single source
of vibration only in a few instances.	immediately adjacent
houses the equipment for heating	, ventilation, water and
other utilities for This includ	es air-compressors, cooling
towers and the air-handling units. The charac	teristics of the vibrations
produced by each of these are summarized in th	e following paragraphs.
Air-Handling Units	
Vibration measurements were taken on	the ducts and junctions as
well as the housing of the fars that make up t	he air-handling units. The
vibration measurements are most meaningful in	terms of the frequency charac-
teristics. The amplitudes of the motions are	not of particular importance.
This occurs because the vibrations produced in	the duct work within
are of an acoustical or high frequency nat	ure and are transmitted by
pressure fluctuations rather than mechanically	. Mechanical transmissions
were observed only in a few instances and thes	e were for short distances
along sheet metal ducts. The data shows below	in Table I-I are a summary
of vibrations measured in related	d to air handling units.
In many instances, the vibration frequency	uencies are within the 15 to
25 Hz range which coincides with the natural f	equency of the floor slabs

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and is also within the range of the natural frequency of the work benches described below.

TABLE I-I SUMMARY OF AIR-HANDLING UNIT VIBRATION DATA

Unit	Frequency Hz*	Amplitude Inches
1		
Air Handling Unit No. 1	i	_
	26.3	5.7×10^{-5}
	25.0	2.7×10^{-4}
	37.0	4.5×10^{-5}
Air Handling Unit No. 2		/2
	8.5	1.5×10^{-3}
1	17.4	2.1×10^{-3}
1	16.7	1.1×10^{-3}
-	17.7	6.2×10^{-4}
	33.3	8.1 x 10 ⁻⁵
	37.0	4.0 x 10 ⁻⁴
Air Handling Unit No. 2E		
***	12.1	1.6×10^{-3}
	72.2	6.9 x 10 ⁻⁴
Air Handling Unit At		
South End		
	21.1	2.1×10^{-4}
	28.6	1.6×10^{-3}
	33.3	1.4×10^{-4}

^{*}Hz is the abbreviation for Hertz and has dimensions of cycles per second.

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Cooling Tower No. 1

Measurements were made on the circular frame a: the top of the cooling tower to record the frequency of steady-state vib...cns generated by the fan. Frequencies varied between 33 and 38 Hz and the amplitudes of motion were less than 10⁻³ inches. Steady vibrations at these frequencies were not observed at any location within

Thus, the cooling tower is dismissed as a significant source of vibration.

Air Compressors

Two types of air compressors are located in the north end of and run intermittently. The vibrations transmitted to the surrounding floor are summarized in Table I-II.

TABLE I-II
SUMMARY OF AUR COMPRESSOR VIBRATION DATA

Item	Frequency Hz	Amplitude inches
DeVilbiss Compressors	10	1.1×10^{-3}
	20	1.2 × 10 ⁻³
Worthington Compressors	8.7	3.2 x 10 ⁻⁴
	18.2	1.1×10^{-4}

These vibrations are again within the natural frequencies of floor slabs and the work benches. However, if they were a significant contribution, it would be easy to correlate the periods of high vibration with the on cycle of the compressors since they produce a steady vibration as opposed to a random vibration. Vibrations of this nature were not observed at any location within

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	Vibrations From Rock Crushers
., +	The U. S. Geologic Survey occupies the south half of the sixth
1	floor where several rock crushers are housed. When in
	operation, one of two types of crushers generates vibrations through recip-
	rocating action of a pair of jaws. Vibrations of 13 to 16 Hz at an amplitude
	of 1.3 x 10 ⁻⁴ inches were observed. The second type uses rollers rather
* · · ·	than reciprocating action, and as a result, negligible vibrations are
	produced.
	In some cases, larger pieces of rock must be broken with a sledge
* 4	hemmer so that they may be fed into the jaw crusher. The sledge hammer
•	impact excited the natural frequency of the floor system (15 to 17 Hz) at
•	a peak transient amplitude of approximately 4 x 10^{-5} inches. The natural
	frequency of the floor was determined by measuring the response caused by
	suddenly applying one's weight to his heels. This produced a peak amplitude
	of 6.8 x 10 ⁻⁴ inches at 14.7 cycles per second. The rock crusher and sledge
	hammer operations are definitely a problem for sensitive equipment located
	within several bays of the source. However, the periods of operation are
	relatively short and, if need be, a coordination of operations could possibly
	be worked out.
	Vibrations From M-Street Traffic
j.	Vibrations from traffic running along M-Street were measured at

Vibrations from traffic running along M-Street were measured at the ground surface approximately 30 feet west of the northwest corner of A summary of the significant vibration levels recorded at this point are given in Table I-III.

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TABLE I-III

SUMMARY OF GROUND MOTION
RESULTING FROM M-STREET TRAFFIC

Description	Frequency Hz	Amplitude* Inches
Cars and Buskes:		
Vertical	10.0	3.2×10^{-6}
	11.8	5.4×10^{-6}
II	14.3	4.5×10^{-6}
Radia1	12.9	2.5×10^{-5}
11	11.1	1.9×10^{-5}
11	11.1	4.1×10^{-5}
Trucks & Busses:		
Vertical	11.1	5.4×10^{-5}
11	11.8	4.1×10^{-5}
tt	10.9	1.2×10^{-4}
Radia1	11.0	1.5×10^{-5}
n	12.5	1.2×10^{-5}
11	11.0	2.6×10^{-5}

* Measured 30 feet west of the northwest corner

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The significance of these vibrations is that the frequencies are predominantly 10 to 12 Hz which is typical of the frequency of motion that is most readily transmitted through the ground in the vicinity

The amplitudes of motion are relatively low and occur at infrequent intervals—more in the form of an impact rather than a steady input since the vibrations are produced only when the vehicles are passing over a rough spot.

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Vibrations From R	ailroad on First Street	
A railr	oad siding to the west of	

from impact as the train passes over joints on the rails. Ground vibrations were measured at the same location as for the traffic on M-Street. The vibrations produced by the train are listed in Table $I-_4V$.

During the passage of this train, ground vibrations are produced

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SUMMARY OF GROUND MOTION RESULTING FROM RAILROAD TRAIN

TABLE I-IV

Description	Frequency Hz	Amplitude Inches
Vertical	11.1	6.2 × 10 ⁻⁵
11	29	3.5×10^{-5}
Radial	25	8.1×10^{-6}
11	28	4.6 x 10 ⁻⁶

These vibrations are similar to those caused by vehicles on M-Street except for some of the higher frequency contents.

Vibration Measurements of the Work Bench on the Fifth Floor

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Measurements were made of the vibrations of the newest model work bench which was set up in an office on the fifth floor at the north end of the building. On this particular instrument, the problem arises from relative movement between the bench top and the mechanism cantilevered from the beam across the back of the instrument. Typical vibration records associated with this instrument are shown on Figs I-1, I-2 and I-3. Figure I-1 shows

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the vertical and horizontal motions of the floor supporting the work bench at the center of the bay between columns. Record No. 42 represents an impact from a light thump on the floor which produces free oscillations of the floor system at its natural frequency. The traces on Record No. 42 indicate that the floor frequency is approximately 15 cycles per second, and that it is easy for a person to produce a vibration which exceeds the ambient level by a factor of 4 or 5. Record No. 43 shows that the predominant ambient vibrations of motion are 18.5 to 20 Hz at an amplitude of 3.2×10^{-5} in the vertical direction and 4.5×10^{-6} in the horizontal direction.

Record No. 45 on Fig I-2 is a comparison of the vertical vibration of the floor with the vertical vibration of the glass on the work bench. Both traces are at the same scale and, thus, a direct comparison can be made. It is seen that the table vibrations are slightly greater and that both vibrations, of course, contain the same predominant frequencies.

Record No. 46 shows the vertical motion of the cantilevered instrument in comparison to the vertical motion of the work bench. The scale settings are the same for both traces, and therefore, the amplification of motion on the cantilevered instrument is readily seen. The frequency of the top trace represents the natural frequency of the instrument which is about 16 Hz. The amplitude of the motion of the instrument is 3.1×10^{-4} inches and represents an amplification of approximately 3 times the amplitude of the top of the work bench. Record No. 47 compares the horizontal motion of the cantilevered instrument with the light table. It is this movement which is causing the problem associated with using the instrument at its full capacity. The frequency of

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horizontal vibration of the cantilevered portion is 16.7 Hz at an amplitude of of 2.3 x 10⁻⁴ inches. The amplity horizontal vibration of the work bench is approximately one-tenth that value, and thus, the top trace is approximately equal to the relative motion between the cantilevered instrument and the light table. These results show that the natural frequencies of the instrument coincide with the natural frequency of the floor. Since the mass of the instrument is relatively small compared to the mass of the floor, high amplification factors are produced in the cantilevered system.

Ambient Floor Vibrations

Ambient floor vibrations were measured at many locations within
and the results are plotted in terms of peak displacement versus
frequency on Fig I-4. Vibrations recorded during the passage of trucks, busses
and a train are plotted on the same figure. The data are considered to rep-
resent a statistical collection since measurements were made over a wide
range of locations within the structure. The floor vibrations show an increas-
ing trend at higher floors with frequencies between 15 and 25 "z. This fre-
quency range corresponds to the natural frequencies of the floor
Ground vibrations predominantly occur between 10 and 12 Hz. To provide
a physical reference for the amplitudes of motion, levels of human perception
are indicated. It is common practice to assume that for an ordinary structure,
vibrations below the limit of "barely moticuable to persons" represent a
"vibration-free" environment.

Vibrations from Ventilation Ducts

· Vi	bration measure	ements were	conducted on	the four	rth floor s	it the
north end of		o determine	the amount	of floor	vibration	contributed



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by the ventilation ducts. Measurements were taken on the floor with the air ventilating syste: on and off. A summary of the measurements is given in Table I-V.

COMPARISON OF VERTICAL FLOOR VIBRATIONS WITH VENTILATION SYSTEM ON AND OFF

Description	Frequency Hz	Amplitude Inches
Ventilation On	20.0	12.0×10^{-6}
	25.0	8.9×10^{-6}
	28.6	14.0×10^{-6}
Ventilation Off	20.0	11.0×10^{-6}
	23.8	8.4×10^{-6}
	26.7	6.0×10^{-6}

The conclusion to be drawn is that 100 percent effective corrective measures to the ventilating system would reduce the amount of vibration by less than 50 percent. From a practical standpoint, this would produce marginal improvements and only within the immediate vicinity of the ventilation duct. The reduction would probably be unnoticeable several bays from the ventilation duct.

CONCLUSIONS

the vertical vibration levels of the floor are within a range generally considered to be "vibration free" for ordinary structures. The vibrations are random in nature and occur at the natural frequency of the floor system. The vibration levels are of the order

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of magnitude that are easily produced by the normal office type operation of people working within a building. Certain isolated areas near ventilation ducts and the rock crushers on the sixth floor vibrate at amplitudes somewhat greater than the average.

leve) of the floors

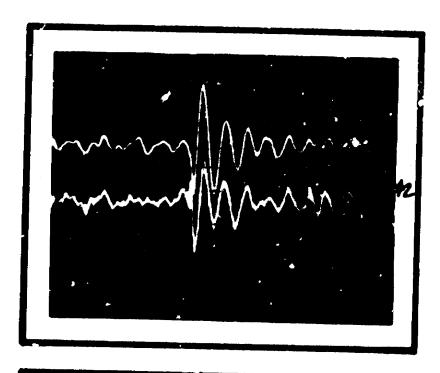
would not be eonomically feasible. Corrections to the vibration problems associated with equipment can be most effectively produced by modifications to the equipment. If a more vibration free environment is required, a completely different type of structural system, specifically designed to minimize vibrations, should be considered. This, of course, means that a new structure would have to be built.

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		SCALES	
RECORD NO.	VERTICAL (IN./SEC.)/CM.		HORIZONTAL
	TOP	BO'I TOM	MILLISEC./CM
42	0.02	0.002	100
48	0.005	0.0005	50 44 4

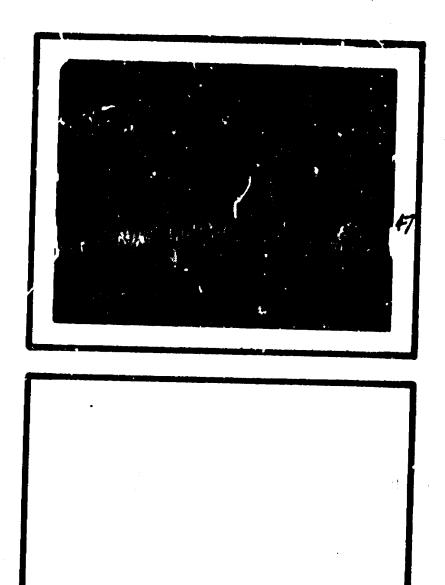
FIGURE 1-





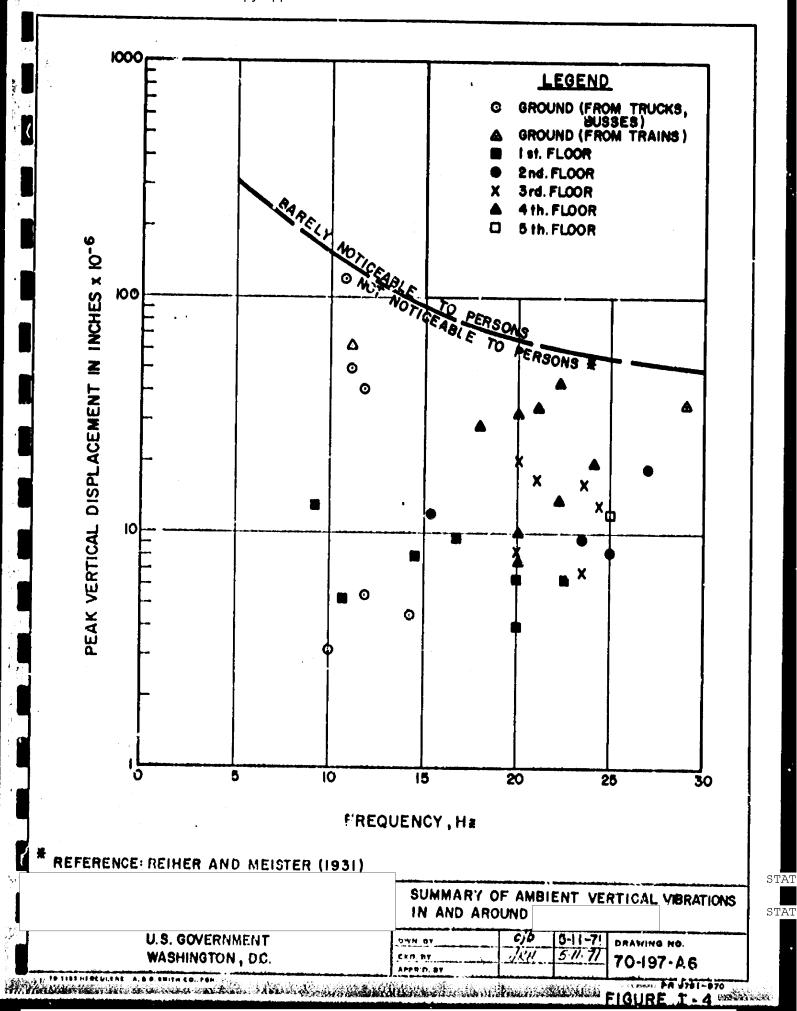
		9 CALES	
RECORD NO.	VERTICAL (IN./SEC.)/CM.		HORIZONTAL MILLISEC./CM.
	.1OP	BOTTOM	millerono. 7 om.
45	0.005	0.008	50
46	0.02	0.02	50

FIGURE 1-2

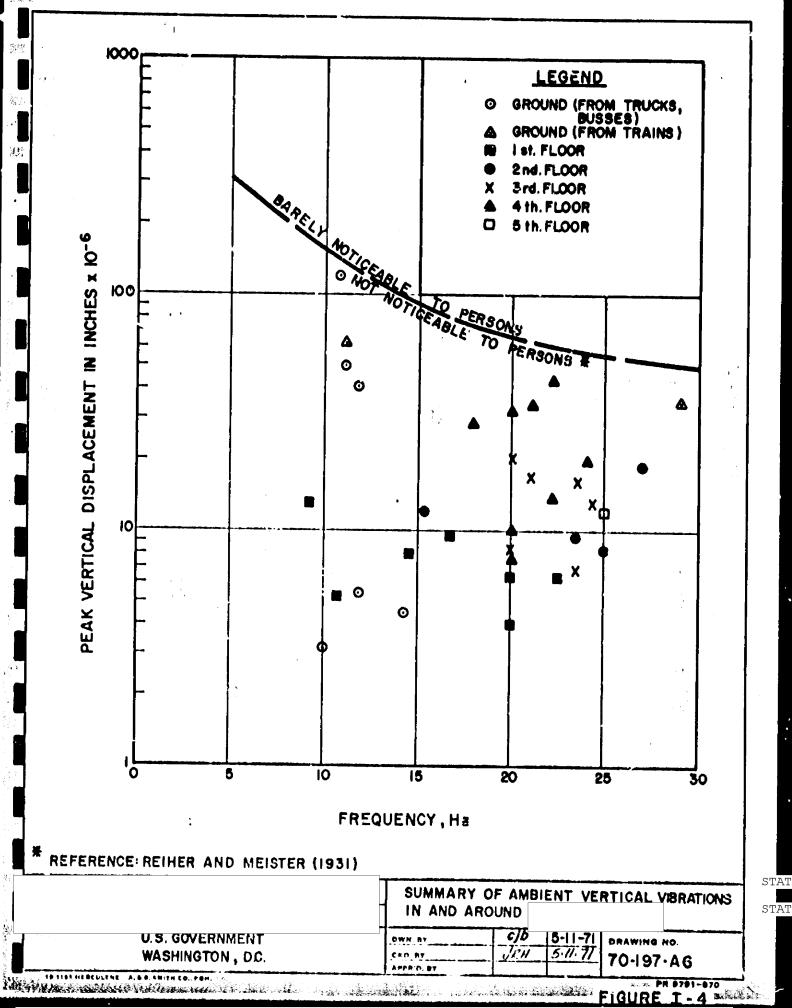


		SCALES	
RECORD NO.	VERTICAL (IN./SEC.)/CM		HORIZONTAL
	TOP	BOTTOM	MILLISEC./CM.
47	0.02	0.02	50

FIGURE 1-3



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PHASE II

INVESTIGATION OF THE EFFECTS OF THE CONSTRUCTION AND OPERATION OF THE SUBWAY SYSTEM

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PHASE II

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11-33	70-197-A11	Responses at Node 203 due to Vibration from Tunnels
11-34	70-197 - B19	Vertical Response due to 10 Hz Vertical Vibration From the Two Tunnels
11-35	70-197-B18	Horizontal Response due to 10 Hz Vertical Vibration From the Two Tunnels
11-36	70-197-A12	Comparison of Maximum Predicted Vertical Vibration with Present Ambient Vibrations

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PHASE II INVESTIGATION OF THE EFFECTS OF THE CONSTRUCTION AND OPERATION OF THE SUBWAY SYSTEM

INTRODUCTION

This portion of the report deals with the investigation of the effects of the construction and subsequent operation of the proposed subway beneath M-Street, approximately 45 feet from the north face

Construction activities are of concern because of possible settlement due to loss of soil during tunneling and due to consolidation during dewatering. Vibrations caused by construction activities are of lesser concern because the tunneling will take place through soil and no blasting or high-speed construction equipment will be involved. After the subway is completed, high-speed trains will be operating, and these will produce vibrations which are considered to be of a more serious nature.

upon to perform the <code>enal_sis</code>. Soil dynamics and geophysics were required to determine the dynamic characteristics of the soil with respect to vibration transmission while structural dynamics principles were required to formulate the structural model. Finally, a specialist in dynamic finite element computer techniques was used for an analytical solution of the soil response to the subway input.

After determining the site's characteristics and the general nature of the structure, a mathematical model representing the complete system from the subway to the structure was formulated. The model was then subjected to a vibrating input motion characteristic of the proposed subway system as predicted by WMATA consultants. The output from the model consisted of floor response motion which provides a direct basis for assessing the effect of the subway on the operation of equipment resting on each floor.

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To simplify the presentation of the Phase II investigation, the various portions that make up the total system are described individually in the following sections of this report.

SUBWAY SYSTEM

The	general location and	u Closs-section o	I the subway	By Btem III
the vicinity	are	shown en Figs II-	l and II-2.	The building
		Trains traveli	ng between t	hese two
stations are	scheduled to operate	between 60 and 6	5 miles per	hour traveling
east and 55 t	o 60 miles per hour	traveling west.	The system i	ls scheduled
	irection of travel m			
particular tu	be. The tunnels wil	1 be constructed	using earth	boring tech-
niques immedi	ately in front and w	est of	while a c	cut and cover
technique wil	1 be used to the eas	t. Since sands a	ind gravels w	/ill be en-
countered dur	ing the tunneling op	eration, it will	be necessary	y to provide a
dewatering sy	stem to prevent flow	of material into	the face du	iring construc-
tion. The pr	esent schedule is to	begin constructi	on in July o	of 1974 and
to finish by	August 1976; actual	operation of the	subways is a	icheduled for
October of 19	77.			

The Nature of Vibrations Caused by Suhway Trains

The nature of vibrations caused by the operation of a system of subway trains has been reviewed by considering data obtained by Wilson, Thrig, and Associates, consultants to the WMATA. They have measured subway vibrations at two locations in Toronto, Canada, where the subway structure is

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located in soil. (1) Their data were analyzed with octave band filters and reduced to a motion spectra which plot root mean square acceleration versus octave band center frequencies as shown typically on Fig II-3. The data shown on this figure have been converted to displacements to be compatible with the computations in our investigation. For purposes of analysis of structural response, the vibrations below 30 cycles per second are significant, whereas the higher frequencies represent acoustical vibrations which are relatively unimportant.

Factors Which Will Cause Lower Vibrations at the Washington Facilities:

There are several differences between the Toronto System measured by the WMATA consultants and the system proposed by WMATA. Some of these differences will create a more stable vibration environment while others (as discussed in the next section) will tend to create a more adverse environment.

The rail fasteners for the Washington System will have a lower spring coefficient which should reduce the magnitude of vibration at frequencies above 30 Hz but will not significantly reduce the magnitude of motion between 8 and 30 Hz which is of concern in attructural response analysis.

Secondly, the Washington trains will be equipped with non-slip automatic braking systems which will reduce the formation of flat spots caused by wheel slippage. Flat spots, which are common to the Toronto System, are a principal source of vibrations on poorly maintained systems. Hence, their absence should tend to improve the situation

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	26.
Factors Which Will Cause Higher Vibrations at the Wa	ashington Facilities:
The WMATA trains in the vicinity	will operate
at 60 to 65 miles per hour; whereas, the Toronto Sys	stem operates at 40 to
45 miles per hour. Vibration levels are generally i	found to be proportional
to velocity, and therefore, Fig II-4 was prepared wi	ith approximate correction
factors for conditions at WMATA. Another factor whi	
higher vibration environment is the use of two separ	
by WMATA, rather than a single, wide tunnel. Howeve	· · · · ·
range of interest to this investigation, it is belie	
will be minimal.	in the same same same same same same same sam
	· · · · · · · · · · · · · · · · · · ·
In both subway systems, continuous welded	
eliminating a potential source of vibrations at rail	
tion is being given by WMATA to specifying a concret	
steel liner for the subway tubes to reduce acoustica	
structural response standpoint, it appears that the	concrete tube would prob-
ably be the better choice; but for frequencies less	than 30 Hz, the difference
between the two liners will probably be insignifican	t .
SUBSURFACE INVESTIGATION	
During the period from February 23 to Hard	ch 22, 1971, a series of
five test borings were drilled at the site	The purpose of
these borings was to determine the soil profile and o	dynamic soil properties.
	shown on Fig 11-5.
Figures II-6 and II-7 show interpreted soil profiles	based on the logs shown

The second secon

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on Figs II-8 and II-9.

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Borings Nos, 1 and 2 which were intentionally drilled as a pair

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were placed 19 feet apart and drilled to a depth of 105 feet while Borings

Nos. 3 and 4, also a predetermined pair, were placed 40 feet apart and

drilled to a depth of 85 feet. To complete the soil profile under

Boring No. 5 was drilled in front of the building to a depth of 81 feet.

The dynamic soil properties were measured in the field using a cross-hole velocity measurement technique with each of the two pairs of borings mentioned above. As the drilling progressed, seismic tests were conducted on fivefoot intervals and at approximately the same depth in a pair of two adjacent borings. In conjunction with the seismic study, split-spoon and undisturbed piston samples were pushed at various intervals to obtain samples for

laboratory testing.

The soils underlying onsist of 8 to 10 feet of fill material underlain by terrace deposits down to E1 -30 to E1 -40. According to the WMATA consultants, (2) the terrace deposits are of Pleistocene age, and the lower boundary at E1 -30 to E1 -40 marks the location of Creteaceous mediments. The uppermost terrace deposit is a layer of soft to medium hard silty clay that extends to approximately E1 -7, where a four-foot thick layer of soft organic clay occurs. Underlying this clay are medium dense to very donse interbedded layers of sand, gravel, and silt which extend to approximately E1 -70. A very hard silty clay was encountered at E1 -70 and extended to the bottom of the boring at E1 -85.

The seismic study was undertaken to determine the dynamic soil properties necessary for the prediction of the vibrations which will be transmitted

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⁽²⁾ Report No. 14, Contract MOD. No. 3Z7021-009, Building 213, Washington Navy Yard, Section F003, Branch Route, Subsurface Investigation, by Mueser, Rutle e, Wentworth and Johnston, dated April 20, 1971.

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diagram of the method and equipment used to measure the cross-hole compression wave (P-wave) and shear wave (S-wave) velocities in the various layers.

Basically, P and S waves were generated by a hanner striking the drill rods attached to the split-spoon sampler. An electrical pulse is generated at the time of impact, and the time interval from impact to the arrival of P and S waves in the adjacent borehole was measured with a storage oscilloscope. This type of oscilloscope is advantageous since several records may be made and stored for comparison of the generated wave forms. Figure II-11 shows a typical recording of the P and S wave arrivals along with typical calculations of the wave velocities. The S wave varies from 800 feet per second near the ground surface to 3000 feet per second at 100 feet. Correspondingly, the P-wave increased from 5000 feet per second to 7700 feet per second.

Since there is only a slight variation in the soil profile and measured vel-

DEVELOPMENT OF THE STRUCTURAL RESPONSE MODEL

dynamic properties of the soil profile.

ocities at each end of the building, the plot on Fig II-12 was used for the

The system under consideration, as shown on Fig II-12, consists of a frame structure results on a half space with two tunnels running normal to the direction of the structure. For purposes of computation, the structural frame was separated from the half space and modeled as an independent lumped parameter system. Then, a finite element analysis was conducted on the underlying half space with due account given to the presence of a structure at the surface. The output for the finite element program then served as

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input for the frame analysis. This section discusses the formation of the frame model while subsequent sections describe the finite element analysis of the soil response.

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is basically a reinforced concrete structure, 180 x 400 feet in plan dimension, six stories high and supported by spread footings on 20-foot centers. The first floor is a slab supported on grade. The structure in its original form was only four stories high, but two additional floors were added at a later modification. The two top floors are a steel frame with reinforced concrete slabs.

A lumped-parameter model for the dynamic analysis of the structure was formulated with node points at the column floor intersections and midway between the columns. With this model, it was possible to analyze both the horizontal and vertical response of the floor system from inputs at the foundation. The natural frequencies for horizontal and vertical motion were calculated using a digital computer. Six horizontal modes of vibration were computed ranging from 1.0 to 11.6 Hz. The natural frequencies for vertical motion were computed and compared with the natural frequencies measured during the vibration investigation for Phase I. The model was then adjusted so that the natural frequencies in the vertical direction agreed with those actually measured. This provided an accurate model for vertical motion of the floor system.

The measurements made of the ambient vibrations indicated that the horizontal and vertical motions were quite similar in frequency content. These frequencies coincided closely with the computed vertical natural frequencies of the floor slab, indicating that the vertical natural frequencies are an important factor in the analysis of vibrations.

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DEVELOPMENT OF SOIL DYNAMIC RESPONSE HODEL

In computations of ground vibrations caused by industrial operations, subways and similar man-made sources, it has been experimentally verified that the soil can be considered as an elastic medium. Vibrations are transmitted through the soil essentially in two body wave forms and one surface wave form. One body wave, the compression or P-wave, generates particle motion in the direction of wave propagation while the shear wave or S-wave causes particle motion perpendicular to the direction of wave propagation and produces shear distortions in the medium. The surface wave, or Rayleigh wave, is characterized by the concentration of energy near the surface of the soil. They are analogous to the waves forming concentric rings when an object is thrown into a body of water.

For saturated soils, the compression wave velocity is about equal to the velocity of propagation of a compression wave in water, which varies from 4800 to 5500 feet per second. The shear and Rayleigh wave velocities are, for practical purposes, equal and are slower than the compression wave velocity. The two main factors, which influence the velocity of propagation of waves, are density and confining pressure. Thus, at increasing depth, wave velocities increase as shown on Fig II-12.

The computation of the dynamic response of a soil system differs considerably for similar computations for a structural system. When a vibration is produced in the soil, the energy propagates in the form of waves and is reflected at free surfaces and changes in materials. The wave energy is eventually lost either by propagation to infinity or by the generation of heat from internal soil damping. When formulating a finite element model

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included in the model, and artificial boundaries must be created. Conventional finite element computer programs can only handle free, roller or fixed supports along the boundaries. Boundaries of this type cannot be used for the solution of dynamic soil-structure interaction problems since waves will be reflected at these boundaries. This, in effect, causes resonant frequencies which are dependent up in the size of the model used to represent the soil. There are two methods of treating the boundary to overcome this problem. Both methods have been developed by Dr. John Lysmer at the University of California who was retained as a special consultant on this aspect of the problem. The methods are described in the following paragraphs.

Type A Boundary Conditions

One method utilized to prevent reflection of wave energy at the artificial boundaries of the model is shown at the top of Fig II-13. The model consists of three zones. Zone I is composed of a finite element grid that includes the source of vibrations. Waves generated by the source are propagated to the left and right boundaries of Zone I. Beyond these boundaries, the soil is considered to be a layered system extending to infinity so that the waves propagate outward and are not reflected back to Zone I. The soil underlying Zone I is treated as a fixed boundary to represent bedrock or a very stiff soil.

The advantage of this modeling technique is that a relatively limited finite element mesh may be used to represent the system being analyzed. Once the conditions at the boundary of Zone I are computed, it is possible to

compute the displacements at any point within Zones L and R through a closed form set of simultaneous equations.

Type B Boundary Conditions

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A second technique used to represent the infinite extent of the soil is to provide an impedance matched boundary as shown on the lower portion of Fig II-13. It can be shown mathematically that a wave propagating through soil produces stresses directly proportional to particle velocity. Thus, a non-reflecting boundary may be produced by using viscous dampers which develop stresses in direct proportion to particle velocities at the boundaries. The viscous dampers shown on Fig II-13 generate the normal component of stress and a similar series of dampers must be included to generate the shear component of stress. With this technique, the stresses and displacements may not be computed outside the zone of the finite element model as they could using the other technique.

ANALYSIS OF SOIL RESPONSE DUE TO SUBWAY INPUT

Vibrations caused by the subway system are of a random nature that can be mathematically transformed from a displacement-time relationship to an amplitude frequency relationship through a Fourier transform. A coefficient of this transform, multiplied by the steady-state amplification factors of the response of the structure to a unit input at the subway, gives a coefficient of the Fourier transform of the response of the structure. The vibration measurements of the subway tunnel taken by the WIMTA Consultants were reduced by octave band filters which provide the equivalent of a Fourier transform. The amplitude-frequency spectra of floor motion in the structure were obtained



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state motion at each frequency. As briefly discussed in a previous section, the first step in the analysis was to determine the ground response at the elevation of the footings using the finite element analysis.

The model for this portion included the subway tunnel, the soil and a mass loading on the soil to represent the inertia of the structure. Computations were also made without the mass loading of the structure to assess its influence. The results of these computations provided the necessary data for input

The steady-state frequency response of the finite element system, shown on Fig II-12, was computed using four, slightly different models to consider the depth to the lower rigid boundary and to study the effect of the structure on the motion caused by the subway system. For convenience in the analysis, a unit displacement input was assumed at the subway to arrive at the magnification factors for each frequency. All models assumed that the soil damping was 1-1/2 percent of critical, a value considered appropriate for the site's soils and degree of excitation.

Model 1 - Symmetric Model With Building

to the structural response frame model.

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For this model, rock was assumed to exist at a depth of 230 feet below the ground surface. Symmetry was taken about a centerline between the two tunnels with the edge of the building extending to infinity from points located 30 feet from the tunnel centerline. The mass loading of the building included in the model increased the unit weight of the upper 5.8 feet of soil by 136 pounds per cubic foot with no change in the wave velocity. The finite element mesh used for this model consisted of 234 elements.

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Model 2 - Symmetric Model Without Building

This model was the same as Model I except that no increase in unit weight was used to account for the mass of the building. These results were used to study the significance of the mass of the building on the ground motion from the subway.

Model 3 - Deep Symmetric Model With Building

Model 3 was identical to Model 1 except that the location to bedrock was increased to a depth of 300 feet below the surface.

Model 4 - Large "Exact" Model

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Model 4 most closely represents the real system, but it is much more complex. The results were used to verify the adequacy of Model 1, which assumes symmetry and considerably reduced the amount of computations on the computer. The edge of the building was located at the correct distance of 68 feet from the vertical line midway between the two tunnels. The finite element mesh extended from the left side of the left tunnel to the edge of the building. The depth to bedrock was taken as 230 feet.

LOAD CASES CONSIDERED FOR THE ANALYSIS OF GROUND HOTIONS FROM THE SUBWAY

Six load cases were considered for the various models described above. The results of the computations for these load cases are shown on Figs II-14 to 26. Each curve is labeled with a coding system. For example, a curve labeled 3V20/68 indicates Load Case 3, vertical motion at a distance 68 feet from the centerline of the model caused by 20 Hz of unit excitation at the tunnels. The conditions for each load case are described as follows.

As an aid to the reader in studying the results presented on Figs II-14 to 26, Table II-VI summarizes the various load cases and models used for the analysis.



Case 1

Figures II-14 through IX-17 show the resulting horizontal and vertical magnification factors at the foundation level versus distance from the tunnel centerline for Model 1 considering both tunnels excited by a unit vertical displacement vibrating in-phase. Computations were carried out at frequencies of 4, 6, 10, 12.5, 15, 17.5, 20, 22.5, 25, 27.5, and 30 cycles per second.

The data shown on Figs II-14 and II-15 indicate that the vertical subway motion will cause magnified horizontal motions in the 10 to 15 Hz range and that motion caused by exciting frequencies higher or lower than this range will be attenuated. As indicated on Figs II-16 and II-17, vertical motion at the base as caused by vertical vibration at the two subway tunnels will be generally attenuated except for a small frequency range around 10 Hz.

Pigures II-18 and II-19 show the magnification factors for displacements on a vertical line beneath the edge of the building at a distance of 68 feet from the line of symmetry in the model. These are plotted for frequencies of 10, 15 and 20 cycles per second. The corresponding displacements beneath the center of the building (268 feet from the centerline of the model) are shown on Figs II-20 and II-21. Except for frequencies in the 10 to 15 Hz range, these results generally indicate that attenuation is occurring as the vibration is transmitted to the surface and to lower depths.

Case 2 uses the same loading conditions as Case 1, but it is applied to Model 2 to show the effect of the building. As noted in the previous section, Model 2 assumes that the building does not exist.

The effect of the building can be readily seen by the data summarised in the following table. The magnification data have been taken from Figs II-14, II-15 and II-22 for point; and of 68 feet or greater from the tunnels.

TABLE 11-1

Magnification Factor With Building (Max)	Magnification Factor : Without Building	Motion* Type	Excitation** Proquency (Hz)
1.04	1.12	Horizontal	10
7.68	1.18	Horizontal	20
1.22	1,12	Vertical	10
0.68	0.40 0.47	Vertical	20

^{*} Motion experienced at base

These results suggest that the presence of the building causes an attenuation of horizontal motion and only a slight amplification of vertical motion at the frequencies considered. Therefore, in a practical sense, the building does ...t significantly alter the incoming motion to the foundation.

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^{**} Exciting motion is a unit displacement in the vertical direction in both tunnels acting simultaneously and in phase.

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Case 3

applied to Model 3, ich assumes that bedrock, or "rigid boundary" in the finite element analysis, is at a depth of 300 feet instead of 230 feet. The purpose of this loading case is to investigate the sensitivity of the predicted soil response to assumptions regarding this lower boundary. Figure II-23 shows the computed magnification factors for frequencies of 10 and 20 Hz. Comparison of these results with Figs II-14, II-15, II-16 and II-17 yields the results summarized in the following table:

TABLE II-II
COMPARISON OF LOAD CASES 1 AND 3

Magnification Factor* (Max) ("rigid boundary" at depth 230')	Magnification Factor* (Max) ("rigid boundary" at depth of 300')	Motion** Type	Excitation*** Frequency (liz)
1.04	1.52	Horizontal	10
0.68	0.60	Horizontal	20
1.22	0.92	Vertical	10
U.68	0.38	Vertical	20

* Hagnification factors are for distances greater than 68 feet from the tunnel centerline.

** Notion experienced at base

*** The exciting motion is a unit displacement in the vertical direction in both tunnels acting simultaneously and in phase.

These results, plus those shown on Fig II-24, indicate that the predicted motion is slightly sensitive to the assumption regarding the lower boundary, particularly in the low-frequency range. However, with three of the four

output parameters considered, the use of a 230-foot boundary is conservative; whereas, in the fourth case (low frequency horisontal motion), the use of this boundary is non-conservative. From an overall standpoint, it is believed that the use of the 230-foot boundary is appropriate, and therefore, the results developed for Case 1 are proper.

C'ise 4

Model 4. As previously discussed, Model 4 is larger, and theoretically more exact than Model 1, in that it assumes that the east edge of the superimposed load caused by the building is 65 feet from the tunnel centerline and only on one side of the tunnels; whereas, Model 1 assumes that the east edge of the building is 30 feet from the tunnel conterline and on both sides of the street, or subway system. The more exact model was not used throughout the analysis because of the much greater computer time and cost required. Comparing the maximum magnification factors shown on Fig II-25 with those listed in the previous tubles indicates the following results.

TABLE II-III
COMPARISON OF LOAD CASES 1 AND

Magnification Factor* (Max) (Model 1)	Magnification Factor* (Max) ("Exect" Model)	Motion** Type	Excitation*** Frequency (Hz)
1.04	1.28	Horizontal	10
1.22	0.80	Vertical	10

^{*} Magnification factors are for distances greater than 68 feet from the tunnel centerline.

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**	Motion	experienced	иt	base

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^{***} The exciting motion is a unit displacement in the vertical direction in both tunnels acting simultaneously and in phase.

The results in Table II-III indicate that the use of Model 1 and its associated assumptions are reasonably appropriate but not conservative for havisontal motion. Therefore, it would appear that some type of correction factor might be appropriate. For instance, one might use the ratio of the maximum magnification factors, i.e., correction factor = CF = 1.28/1.04 = 1.18. However, the use of such a factor is not practical for basically two reasons - (1) as seen in the following section on structural response, the horizontal motion is severely attenuated as it travels up through the structure, and (2) since the input motion at the subway is defined over such a great range as shown on Fig II-4, the application of a correction factor on the order of 18% does not improve the accuracy of the analysis.

Therefore, the results of the Model 1 computer runs are being used directly in the structural response.

Case 5

The large and more exact Model 4, discussed in the previous paragraphs, was also used to analyze the soil response when only one tunnel was excited. The objective of this case was to determine the effect of two trains versus one train running simultaneously past Building 213.

A review of the two cases as shown on Fig II-26 and the results in Table II-IV, indicates that, as expected, the excitation of only one tunnel produces displacements somewhat smaller than those when both tunnels are excited.

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TABLE II-IV

COMPARISON OF LOADING CASES 4 AND 5

Excitation Praquency, Hz	Hotion Type**	Magnification Pactors (Max) (One Train Running in South Tunnel)	Magnification Pactor# (Max) (Two Trains Running)
10	liorizontal	0.92	1.28
10	Vertical	0.75	0.82
	İ	0.75	0.82

- * Hagnification factors are for distances greater than 68 feet from the tunnel centerline.
- AA Motion exparienced at base

It is interesting to note that even though the energy input is twice as great when two trains are subming, the soll desponse is only about 1.4 times greater for the horizontal of on and only about 1.1 times greater for the vertical motion. This is attributed to the greater distance that the wave forms must travel when the north tunnel is excited. As suggested by Pig II-26, it appears that the wave commitmation that generates the horizontal motion is a result of two peaks, one from each tunnel, serving at the same time and adding. For vertical most on, it appears that a peak from the south tunnel is arriving at the same time as a valler from the north tunnel with a resulting abouth curve which is a ightly highest.

These results suggest that (1) the assumption of two trains passing simultaneously and in phase is not on over-conservative assumption, and (2) little is to be gained by restricting subway scheduling so as to preclude the possibility of two trains passing simultaneously in front of

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Case 6

All the input motion at the subway tunnels considered up to this point has been vertical, rather than horizontal (transverse to the axis of the tunnel) primarily because vertical motions are generally considered to be at least an order of magnitude greater. Even though the horizontal input motion is much smaller, it is conceivable that it could be amplified considerably more than the vertical motion. Therefore, Case 6, which assumes that the south tunnel only is excited by a unit horizontal displacement was investigated with the larger and more exact Model 4. The results are shown on Fig Ii-27 and compared with Case 5, on Fig II-28 and in Table II-V, which assumes one tunnel excited vertically.

TABLE II-V
COMPARISON OF LOAD CASES 5 AND 6

Magnification Factor* (one train - Case 6) Norizontal Excitation	liotion** Type	Excitation Frequency
1.0	Horizontal	10
1.22	Vertical	10
	(one train - Case 6) Horizontal Excitation 1.0	(one train - Case 6) Hotion** Horizontal Excitation Type 1.0 Horizontal

^{*} Magnification factors are for distances greater than 68 feet from the tunnel centerline.

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The data indicate that the vertical input portion will be transmitted about the same as the horizontal input motion. Therefore, if the horizontal input motion is an order of magnitude lower than the vertical motion, then it can be considered negligible in assessing structural response as discussed in the next section of this report.

ANALYSIS OF STRUCTURAL RESPONSE FROM GROUND MOTION INPUT

A previous section under "Development of the Structural Model" discusses the development of the structural model which was used to obtain the response of the structure from the ground motion input computed using the dynamic soil model. To compute responses of each floor, the computerogram 'ANSYS' was used.

The response of the lumped parameter structural model was obtained by defining the displacement conditions at the base of the model in terms of real and imaginary components obtained from the Case 1 loading conditions of the dynamic soil model. The real and imaginary parts represent the inphase and 90 degrees out of phase components of displacement with respect to the unit real displacement input at the tunnels. The steady-state response of the lumper parameter model was computed for frequencies of 4, 6, 10, 12.5, 15, 17.5, 20, 22.5, 25, 27.5, and 30 Hz.

The vertical and horizontal motion spectra at typical nodes are shown on Figs II-29 to II-33. These curves were obtained by multiplying the amplification factors at each frequency by the displacement input of the subway at the the corresponding frequency on Fig II-4. The results indicate that the maximum component of vertical floor displacement will occur at 10 Hz. The peak vertical ground displacement also occurs at 10 Hz. This correlates well with the predominant ground motion frequencies produced by busses, trucks and trains summarized in Table I-III.

The peak horizontal and vertical displacements at 10 Hz have been plotted for each floor of the structure on Figs II-34 and II-35. Figure II-34 indicates that the vertical displacement is the same at each floor level and equals the vertical component of ground displacement. The cyclic variation of

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the vertical displacement is not considered as an accurately predictable phenomenon and should not be used as a guide to location of high and low vibration levels. Consequently, the darhed line through the peaks is included and should be considered as representative of the predicted magni-

The horizontal component of floor displacement plotted on Fig II-35 illustrates the attenuation with increasing floor level. The attenuation is characterized for ground motions at relatively high frequencies compared to the natural frequencies of the structure.

Comparison of Predicted Vibrations From the Subway with Present Ambient Vibrations

tude at each location.

Figure II-36 compares the motion spectra at Node 47 to the ambient vibrations presented on Fig I-4. Node 47 is representative of the maximum vibrations produced by the subway at the north end

I.ine "A" represents an upper bound envelope on the present vibration environment excluding temporary disturbances caused by trucks, busses and trains, while Line "B" includes the effect of these temporary disturbances. It is noted that in the low frequency range, Line "B" is based on measurements made outside the structure on the ground and not on the floors per se. The justification for the use of these data lies in the fact that our computer analysis indicates that ground motion at this frequency is transmitted upward through the building virtually unchanged. Therefore, it is appropriate to compare the responses of Node 47 with either Line "A" or Line "B." Based on this comparison, it is concluded that the proposed subway system will

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	significantly affect the present environment (Line "A"), but the disturb
1	not be greater than that presently caused by trucks, busses and trains (
•	This conclusion is undergoing further checks to determine the effects of
1	frequencies precisely equal to the natural frequencies of the floor system.
(throughout the structure as it is entirely conceivable that the extent of
F	orary disturbance might be greater than predicted above if a resonant co
d	levelops. The results of this portion of the study will be reported in A
	DUE TO SUBWAY CONSTRUCTION
	A comprehensive report (2) dealing with the problem of settle-
1	ment during construction of the subway has been prepared by "MATA's soil
	consultant. This report has been reviewed by EDCE and the results and
	conclusions are reiterated below.
	As discussed in the Subsurface Investigation section,
1	is underlain by soils of the "25-foot" Pleistocene terrace which extends
	lownward to the Cretaceous surface between El -30 and -40. Consolidation
	ests indicate that the terrace soils are overconsolidated by drying to
	pproximately 7 tons per square foot in excess of the overburden pressure
	ear the top of the layer and to approximately 2 tons per square foot in
	xcess of the overburden at E1 -20.
	The spread footings for vary in size as shown on
F	ig II-12 and were designed for a net average pressure of 3 tons per squa
	oot. The bearing area of the footings covers approximately 1/3 of the
	and determinately 1/3 of the

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entire building area, thus the average pressure is 1 ton per square foot over the building area. On the basis of a 30-foot drawdown in the water table, negligible settlements will occur during the dewatering of the subway system. The loading of the soil from drawdown is equivalent to the load that would be produced if the design live load of the building were applied to the first four floors.

The major problem related to settlement during construction will occur from loss of ground during tunnel excavation. Dewatering may be difficult in the lower Pleistocene soils and running or flowing sands may be encountered. It has been estimated that settlements of the North Building line might be on an order of 1/4 inch under the most unfavorable construction precedures. To minimize loss of soil by flowing conditions, dewatering of the Pleistocene layer shoul be completed prior to construction. Also, the North Tunnel construction should be completed prior to commencement of work on the South tunnel.

Settlements of the order of magnitudes predicted are not considered to be serious. The settlement from dewatering is expected to be of a relatively uniform noture and will not create any noticeable effects. The settlement from loss of both during excavation of the tunnels is entirely a function of construction control. By constructing the North tunnel first, experience will be gained so that the ground loss during construction of the south tunnel may be kept to a minimum.

CONCLUSIONS

The conclusions of this re_F ort are based on computations predicting the vibrations that will be produced by the subway system to be constructed

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under H-Str	eat. Supplementary computer runs are in	progress and will be			
discussed i	n a forthcoming appendix. Based on prese	ent information, the			
following co	onclusions are drawn:				
1.	Present ambient vibrations are random in nature and are				
	caused, for the most part, by normal of	fice-type activity			
	of persons in the building.				
2.	Vibrations are of the order of 50 perce	nt greater than			
	the average near ventilation ducts. El	imination of vibra-			
	tion from the ventilation ducts would n	ot significantly			
	improve the vibration environment.				
3.	Reduction of the floor vibrations by al	tering the structure			
	is not economically feasible. Therefor	e, elimination of the			
	work bench vibrations will require alte	ration to the instru-			
	ment itself.	•			
4.	The proposed subway system will signifi	cantly affect the			
	present vibration environment of	but the dis-			
	turbance will not be greater than that	presently caused by			
	trucks, busses and trains passing cutsi	de the building.			
5.	Settlement of the structure	e during construction \			
	of the subway will be negligible.	(
	Respectfully subm	itted.			

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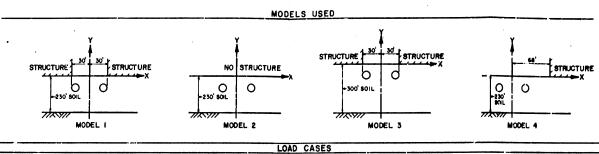
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			LOAD C	ASES		
_	CASE I	CASE 2	CASE 3	CASE 4	CASE 5	CASE 6
* INPUT	VERTICAL BOTH TUNNELS	VERTICAL BOTH TUNNELS	VERTICAL BOTH TUNNELS	VERTICAL . BOTH TUNNELS	VERTICAL SOUTH TUNNEL	HORIZONTAL SOUTH TUNNEL
	IN-PHASE (MODEL I)	IN-PHASE	IN-PHASE (MODEL 3)	IN-PHASE (MODEL 4)	(RIGHT TUNNEL) (MODEL 4)	(RIGHT TUNNEL) (MODEL 4)

ALL INPUTS CONSIST OF UNIT DISPLACEMENTS AT TRACK INVERT.

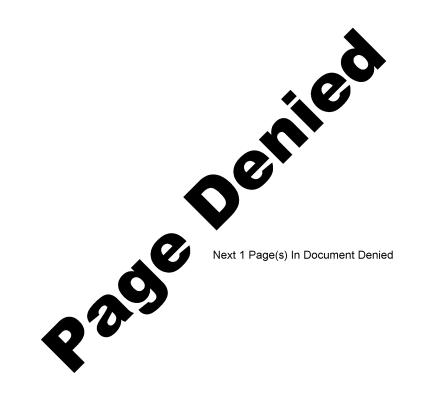
FIGURE	MODEL	CASE	FREQUENCY	LOCATION C	COORDINATES	MOT	
NUMBER	MODEL	CHSE	He	X(FT.)	Y (FT.)	HORIZONTAL	VERTICA
П-14		1	4,6,10,12.5,15,17.5,20	O TO 468	-58	×	
П-15	ı	1	22 5,25,27.5,30	0 10 466	- 5.8	×	
II-16	ı	1	4,6,10,12.5,15, 7.5	C TO 468	- 5.8		X
II-17	ı	1	20, 22 5, 25, 27 5, 30	O TO 466	-58		X
II-18	1	1	10,15,20	68	0 10-230	×	
II- 19	1	1	10,15,20	68	0 10-230		X
II-50	1	1	10,15,20	268	0 10-230	X	
II-21	1	1	10,15,20	268	0 TO-230		x
II-22	2	2	10,20	O TO 468	-5.8	×	X
II-23	3	3	10,20	O TO 468	- 5.8	×	×
II-24	3	3	10,20	58	0 10 -250	×	×
II-25	4	4	10	O TO 468	- 5.8	×	x
II56	4	4,5	ю	O TO 468	- 58	x	X
II-27	4	6	10	O TO 468	- 5.8	×	×
II - 28	4	5,6	10	O TO 468	-5.8	1 x	×

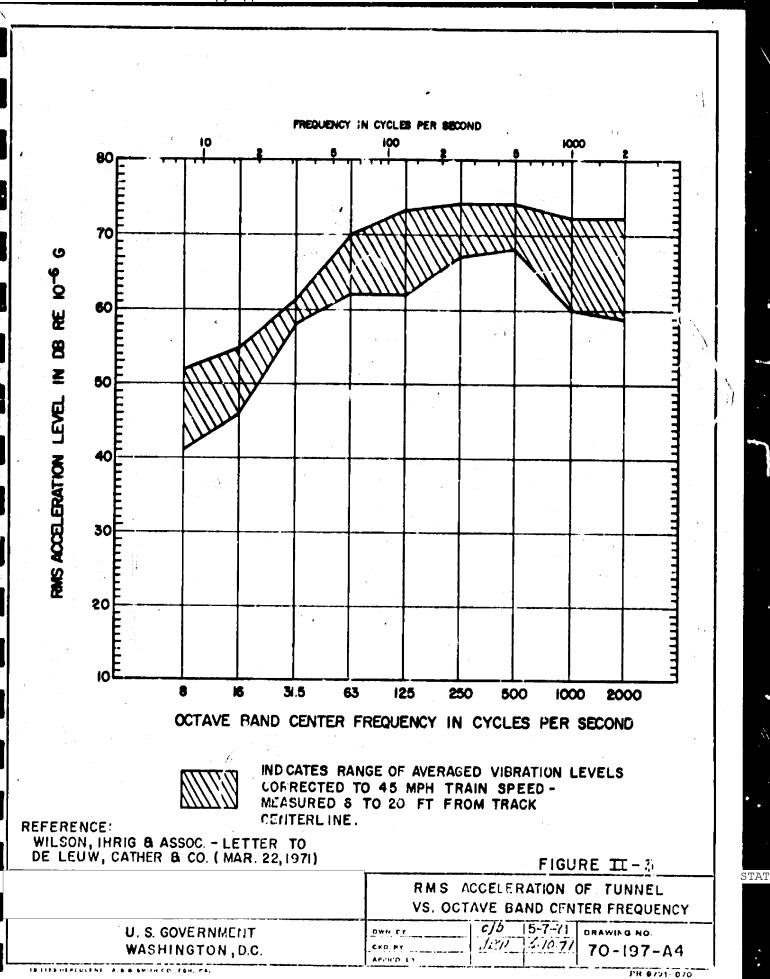
U.S. GOVERNMENT WASHINGTON, D.C.

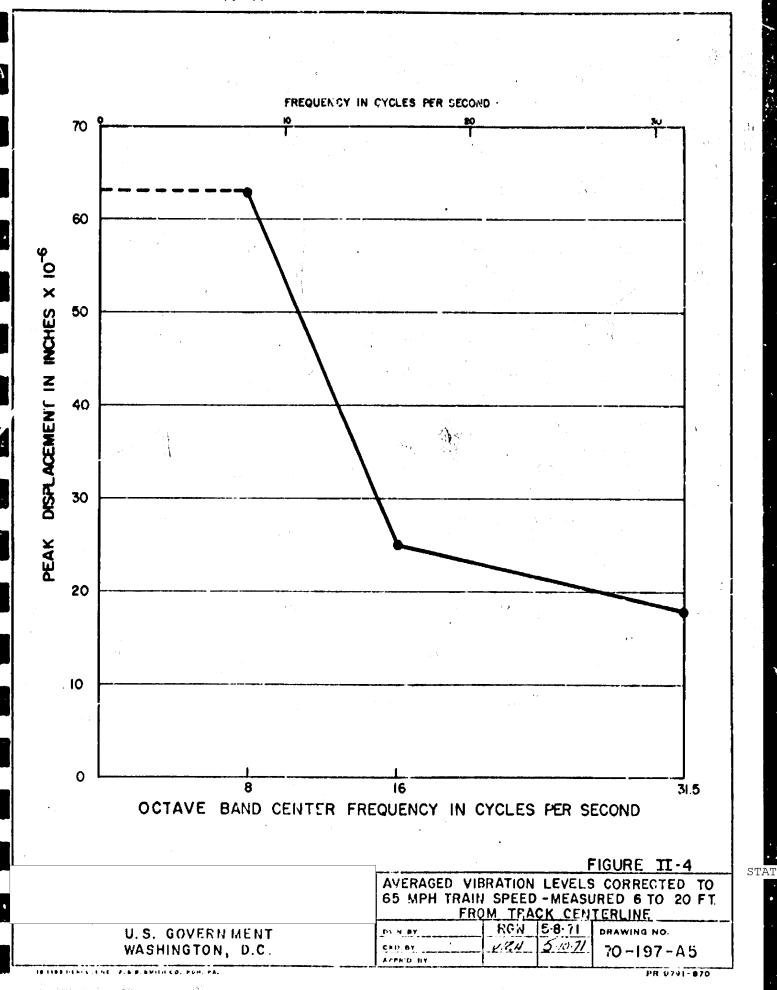
18 1187+194COLEME A. 8.8 SWITH CO. POH. PA.

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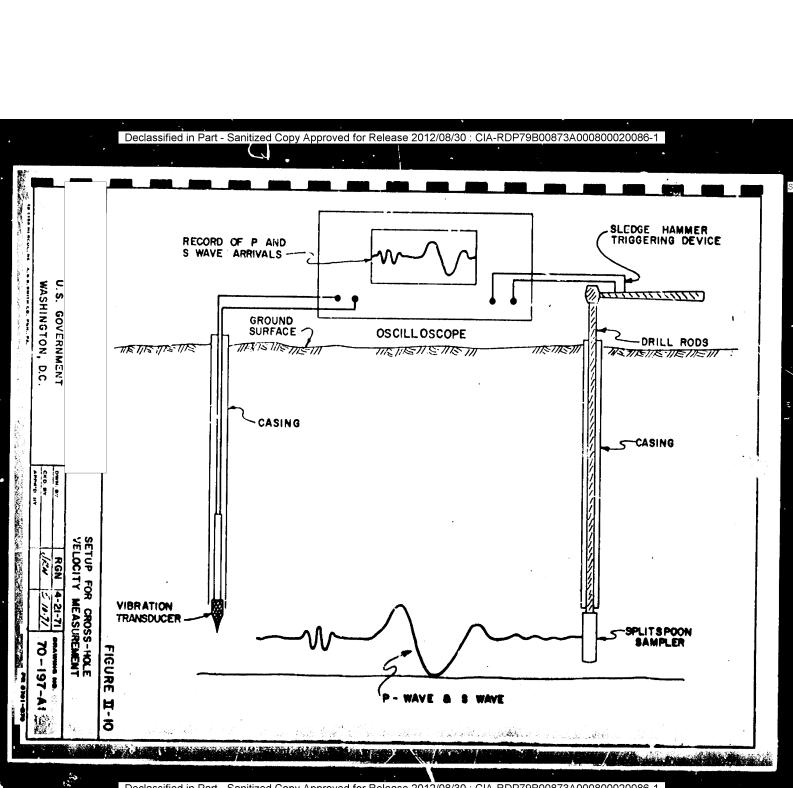
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	FIGURES PHASE II
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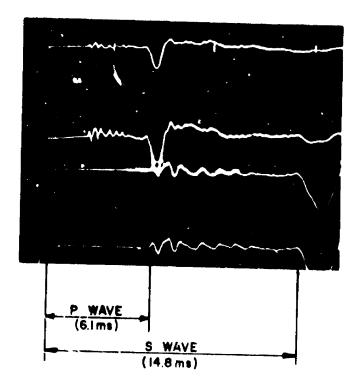












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BORINGS | 8 2 DEPTH 54' HARD, SANDY CLAY 19.08' SPACING

TOP TRACE 5ms/cm

BOTTOM TRACE 2me/cm

DRILL ROD CORRECTIONS

57' = -3.56 ms

16,000'/SEC.

TRIGGERING DEVICE
CORRECTION = +0.31 ms

-3.25 ms

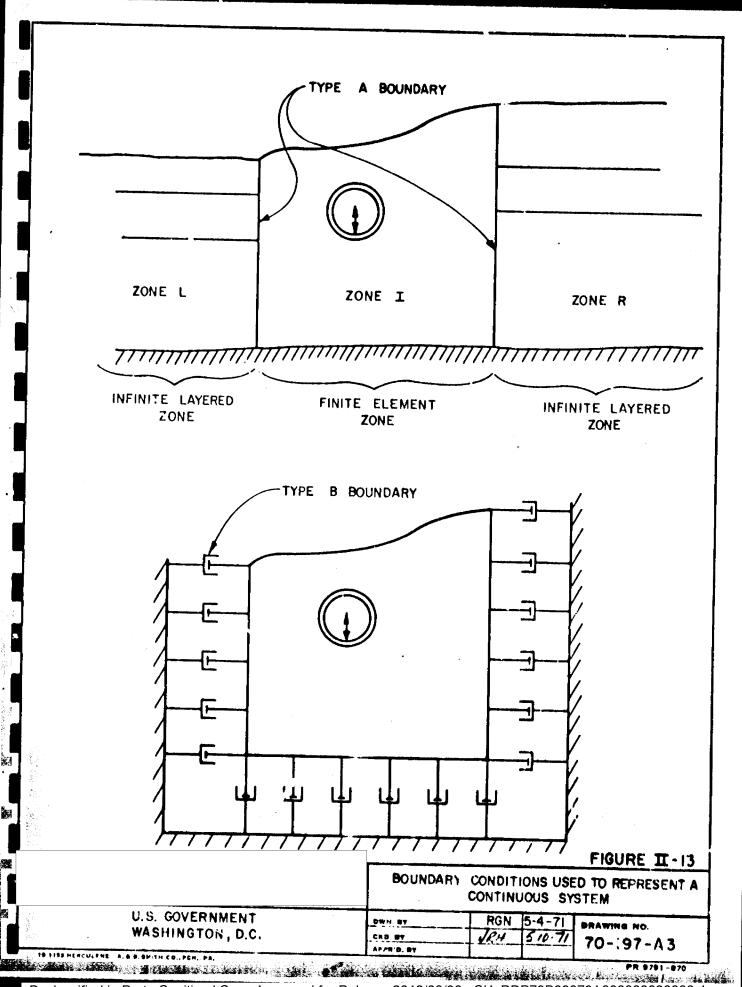
Vp = 19.06' = 6690'/SEC.

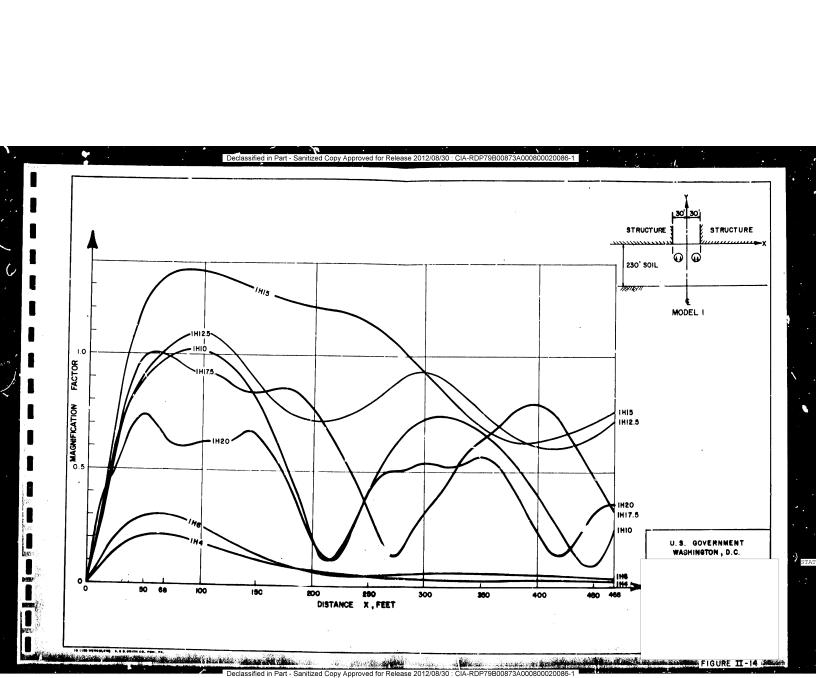
V_S = 19.06' = 1650'/SEC.

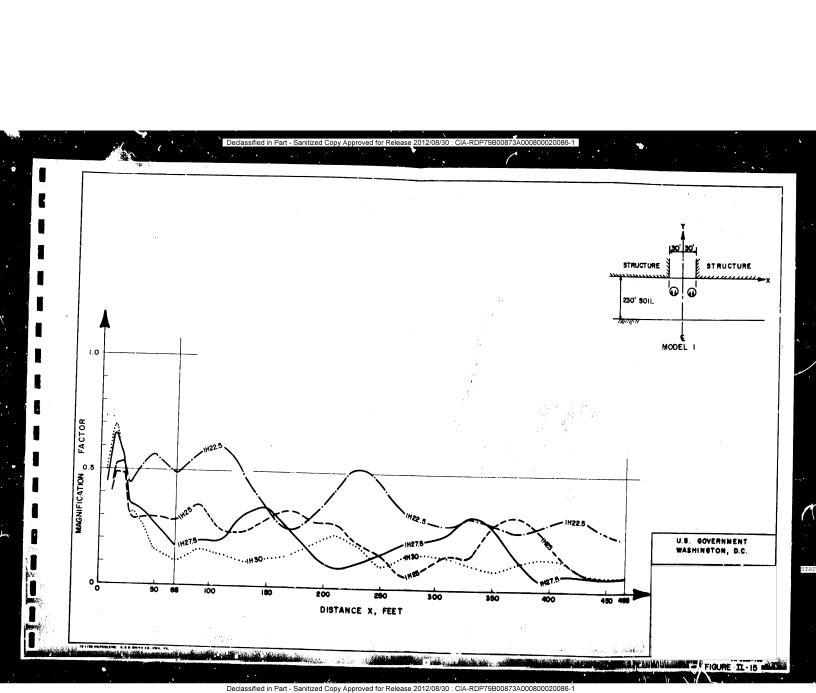
FIGURE II-II

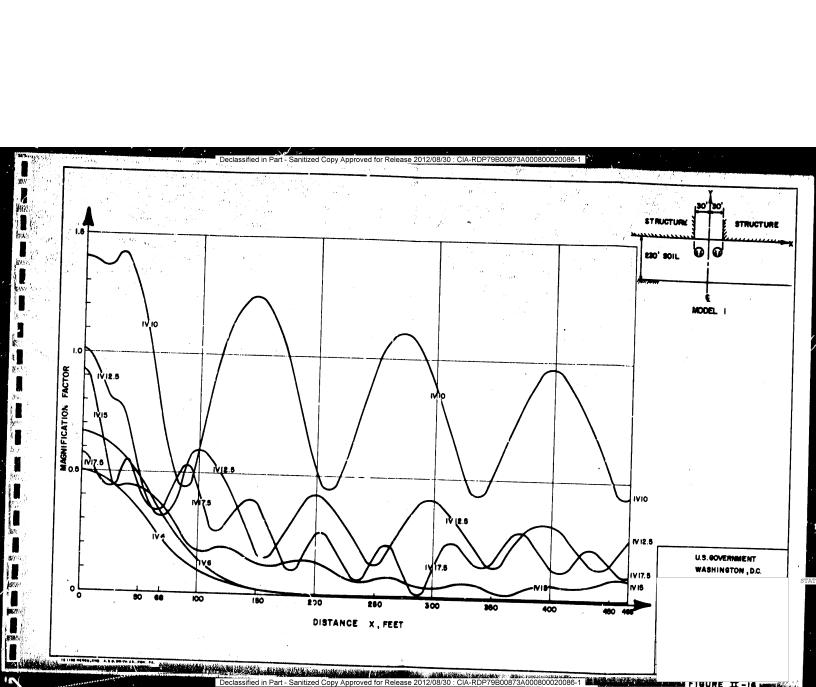


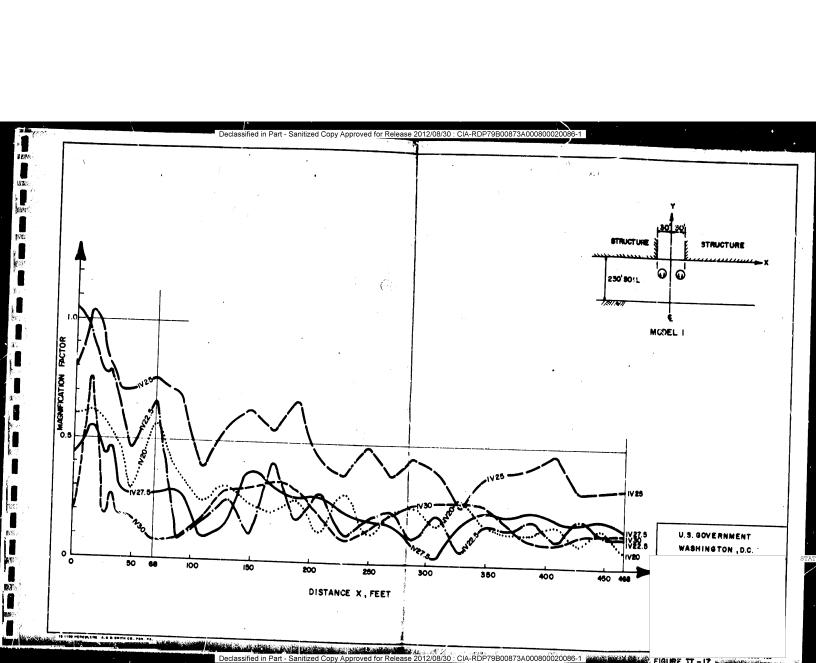
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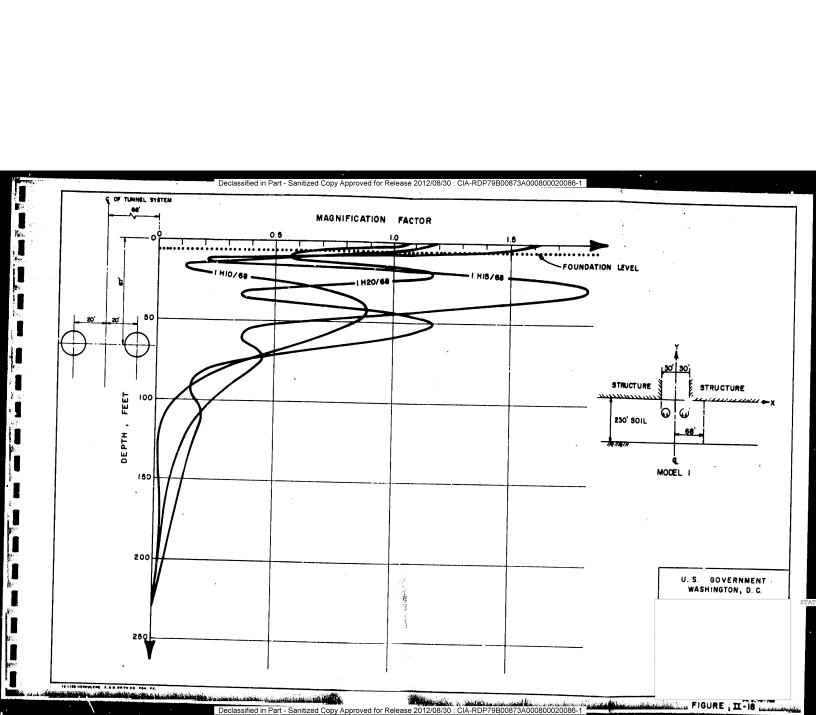


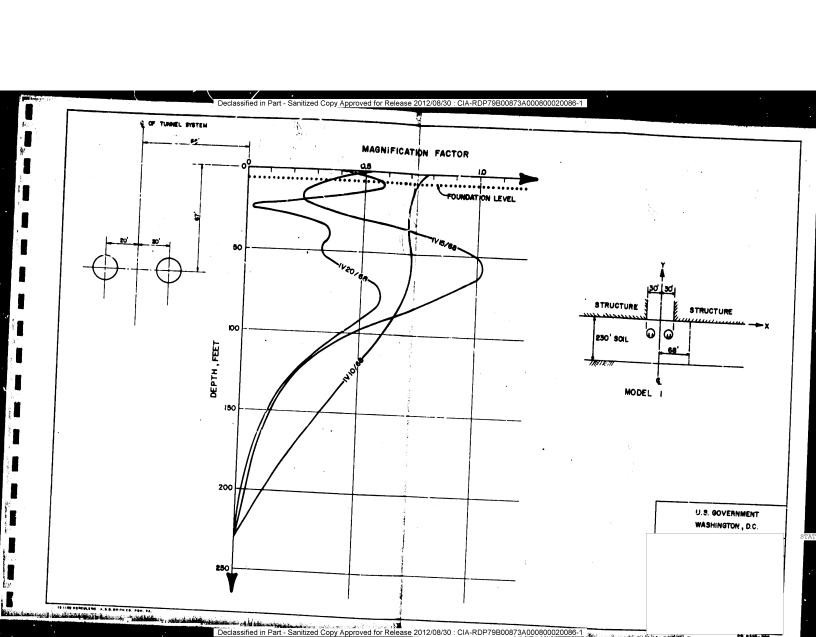


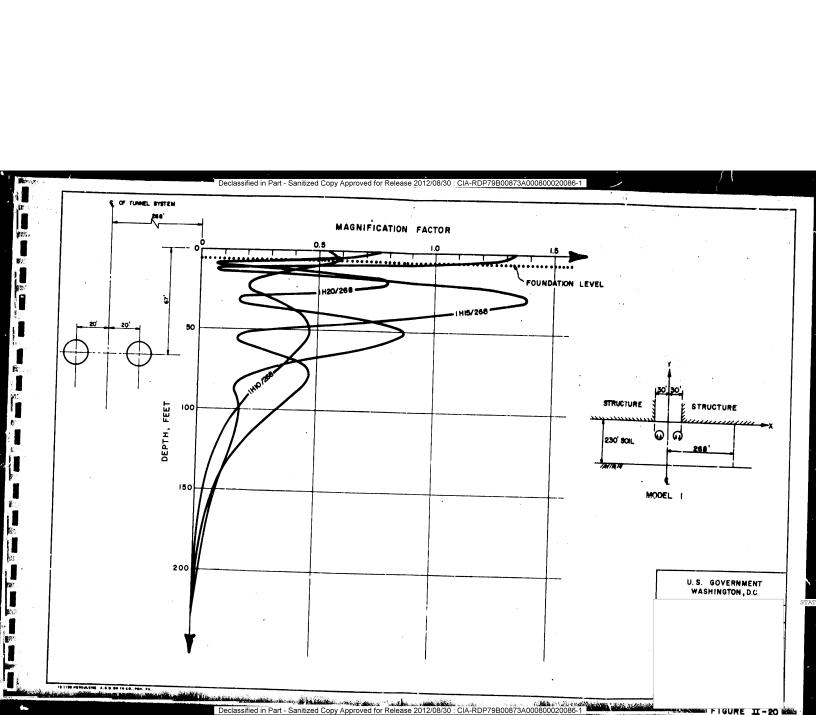


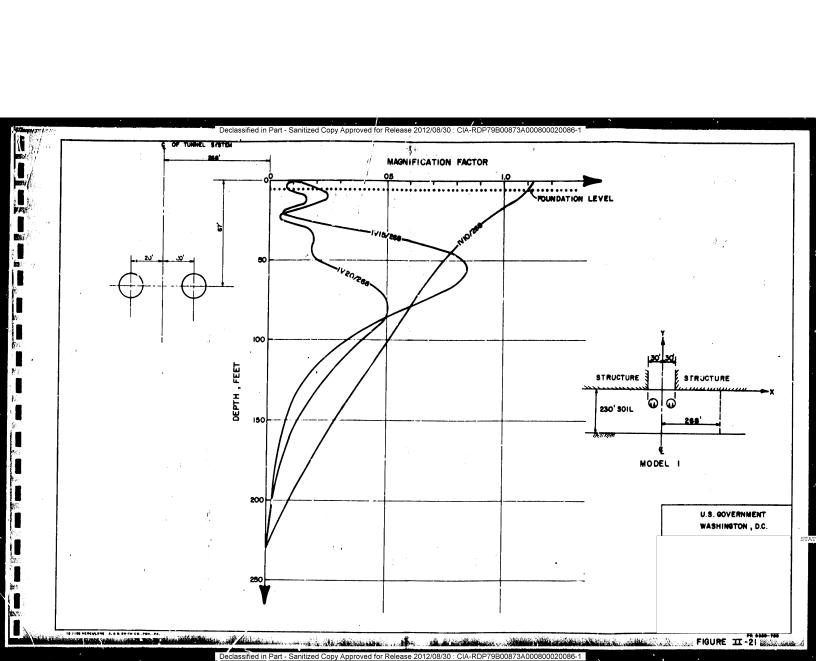


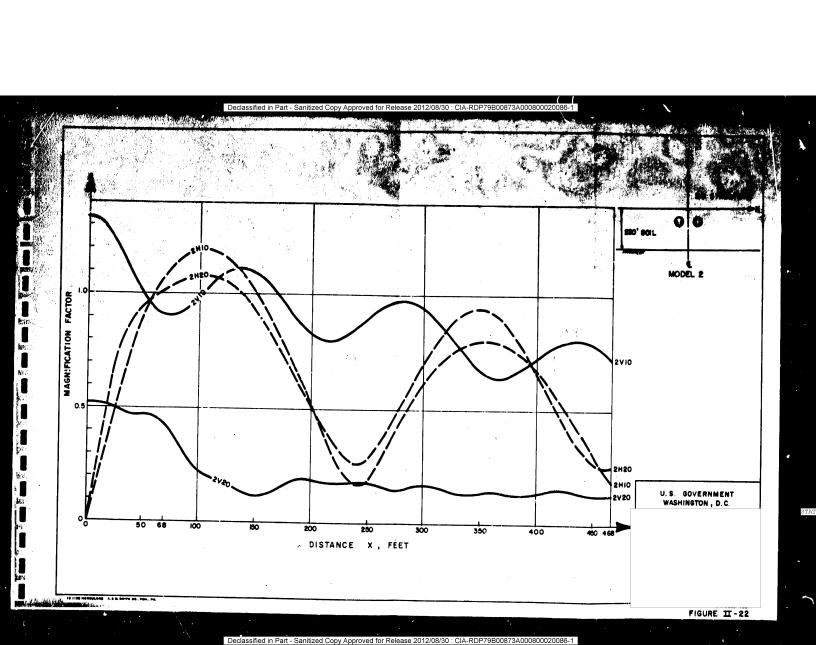


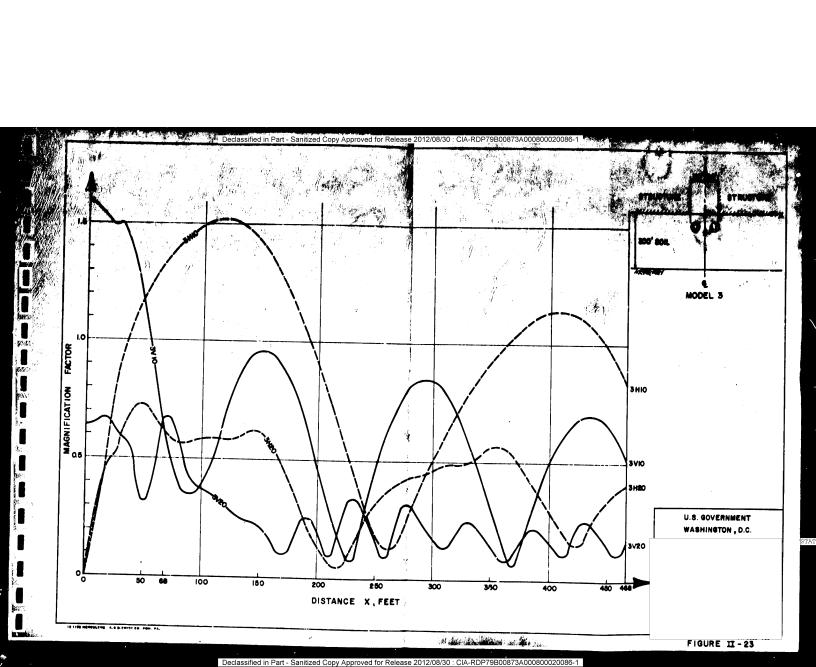


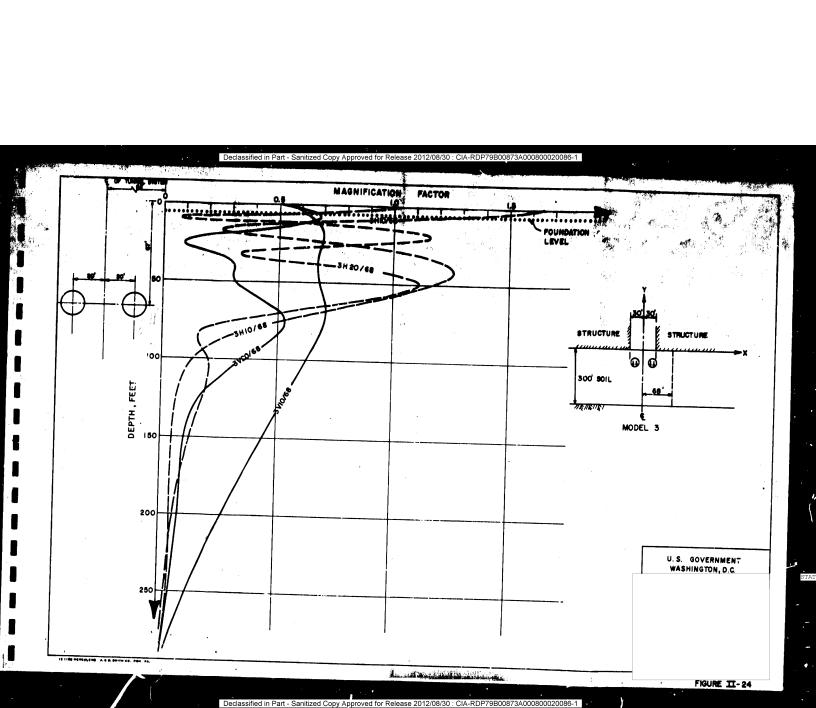


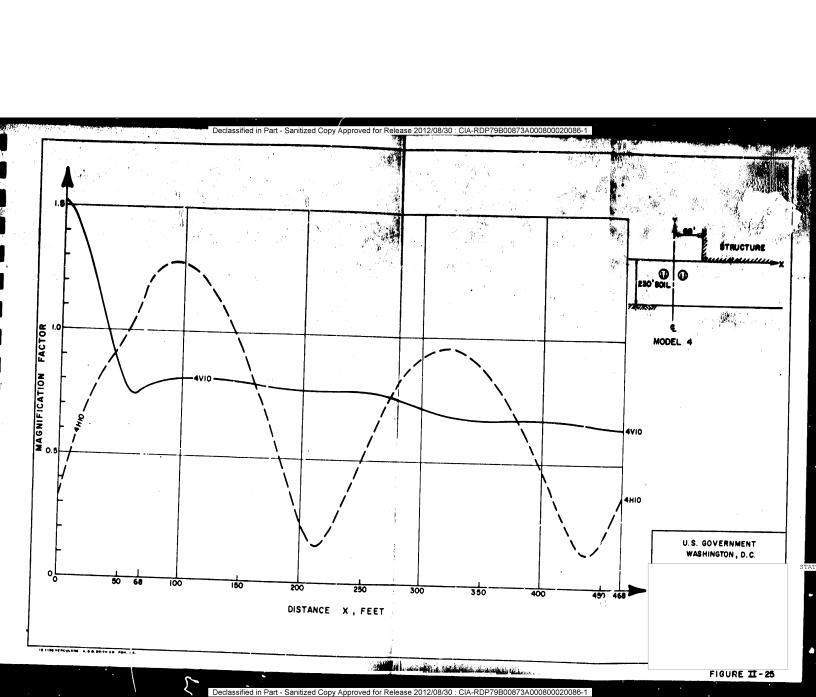


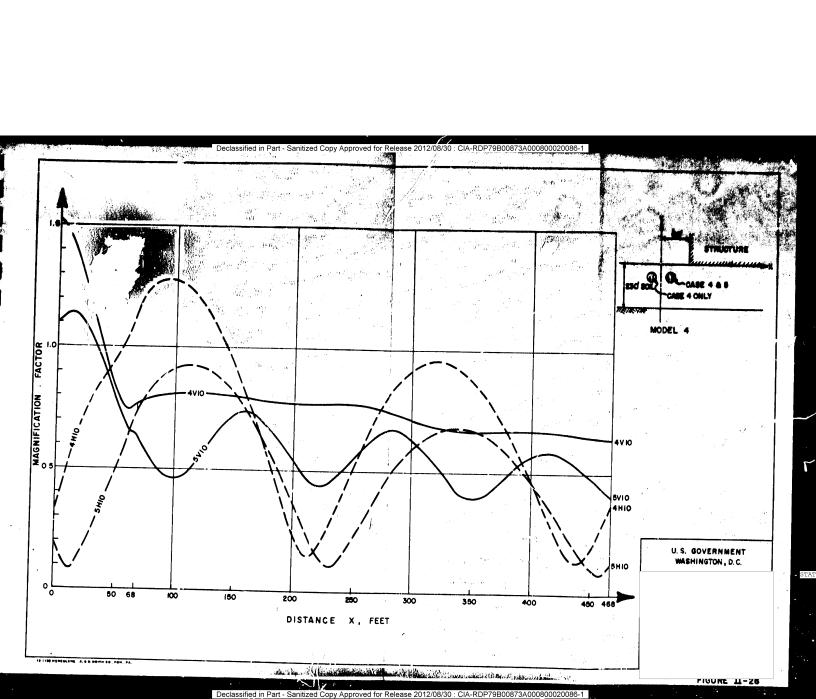


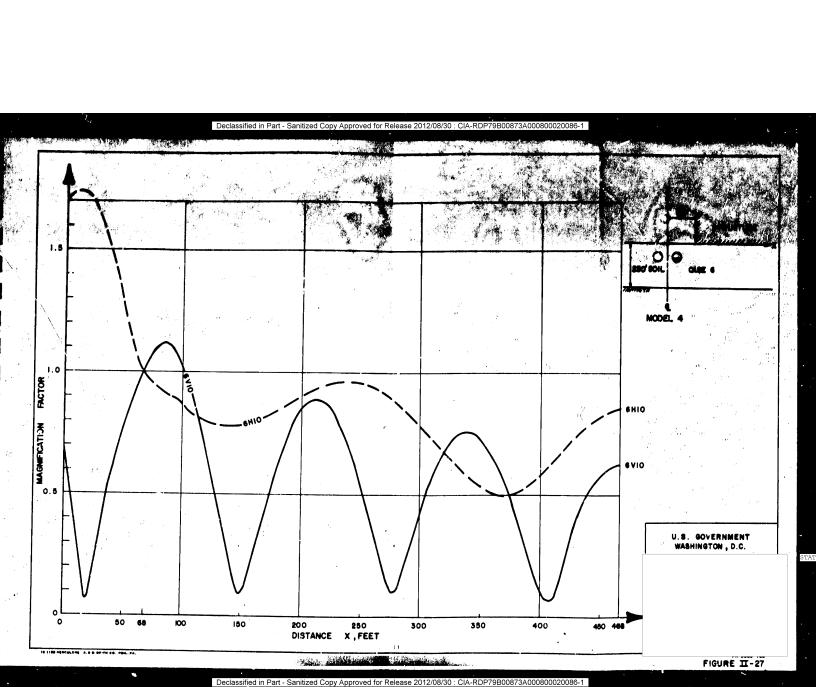


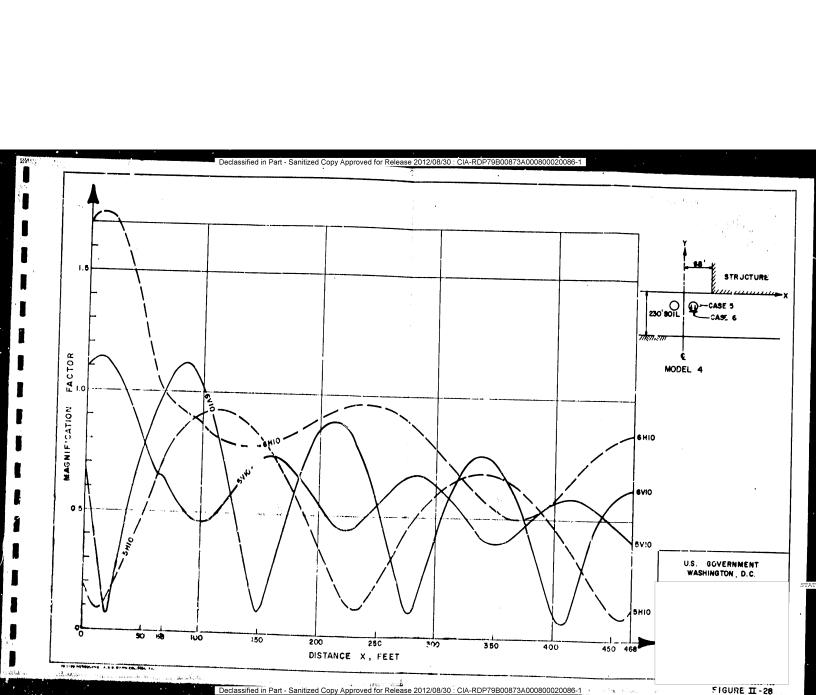


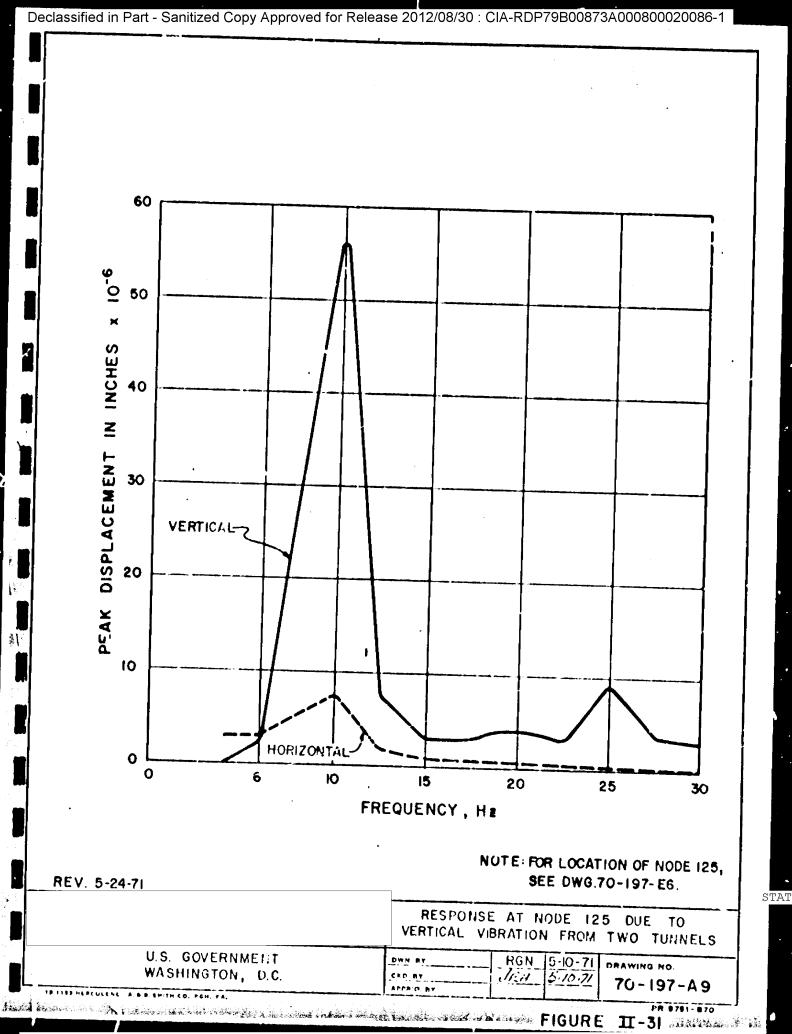




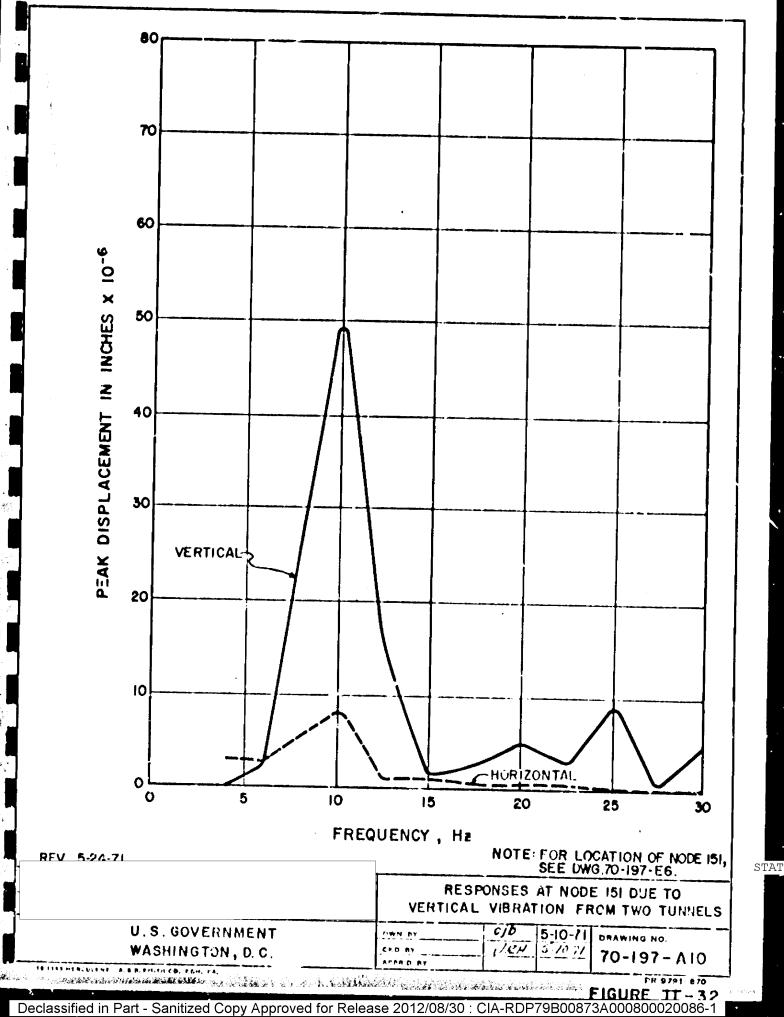


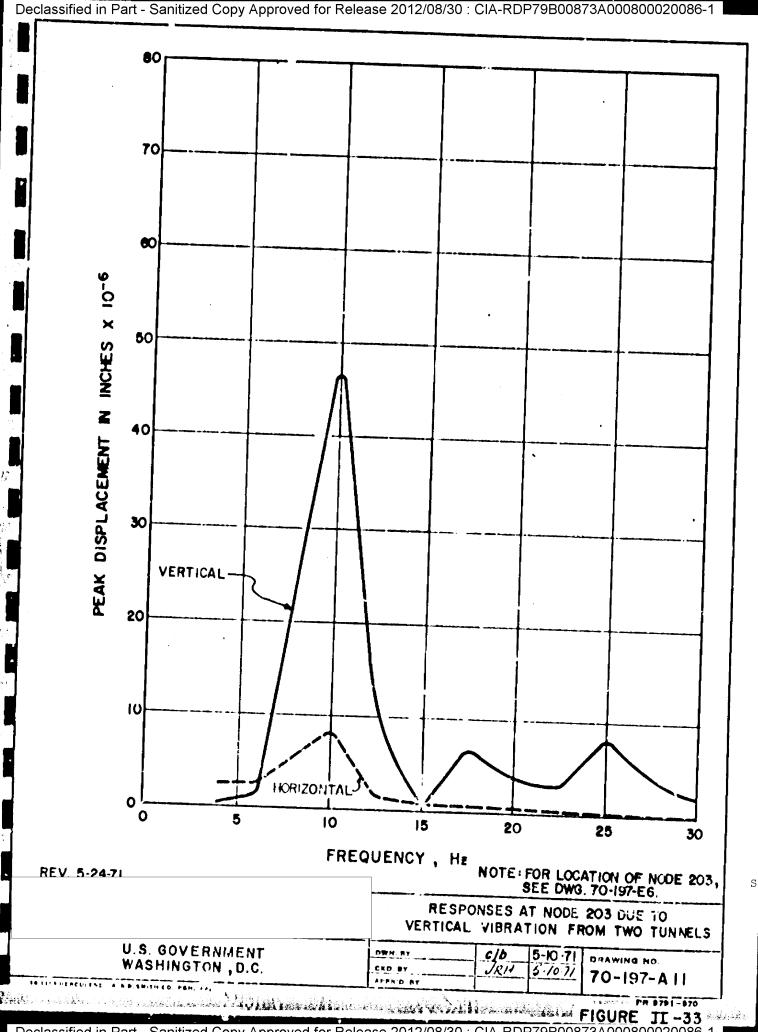




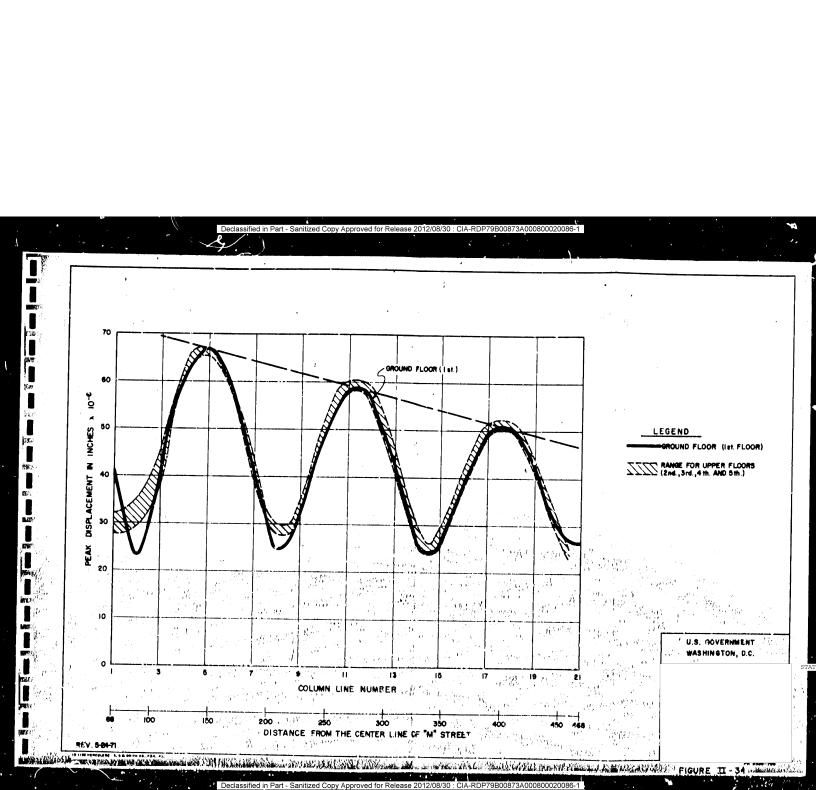


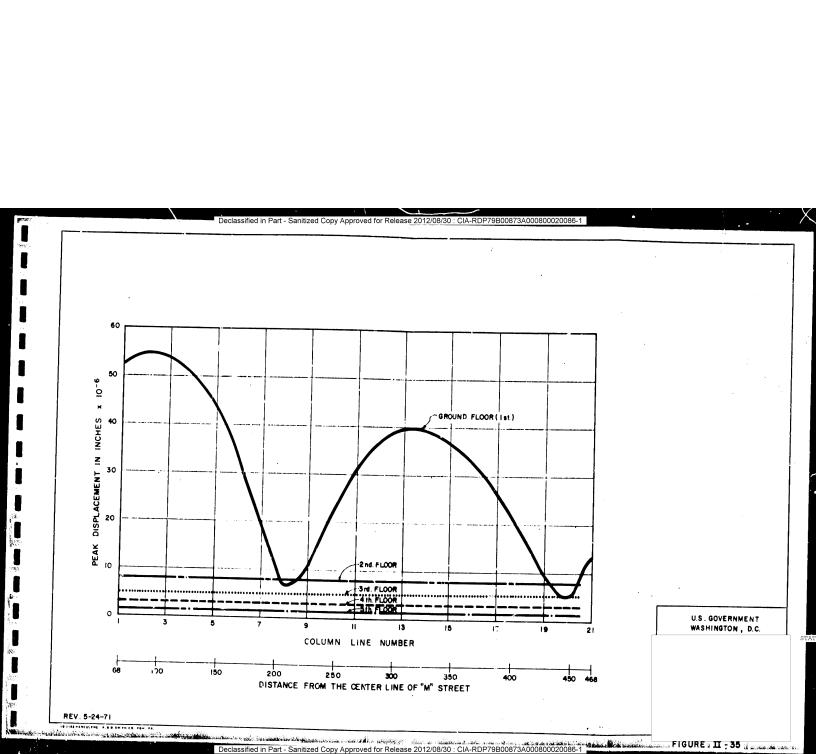
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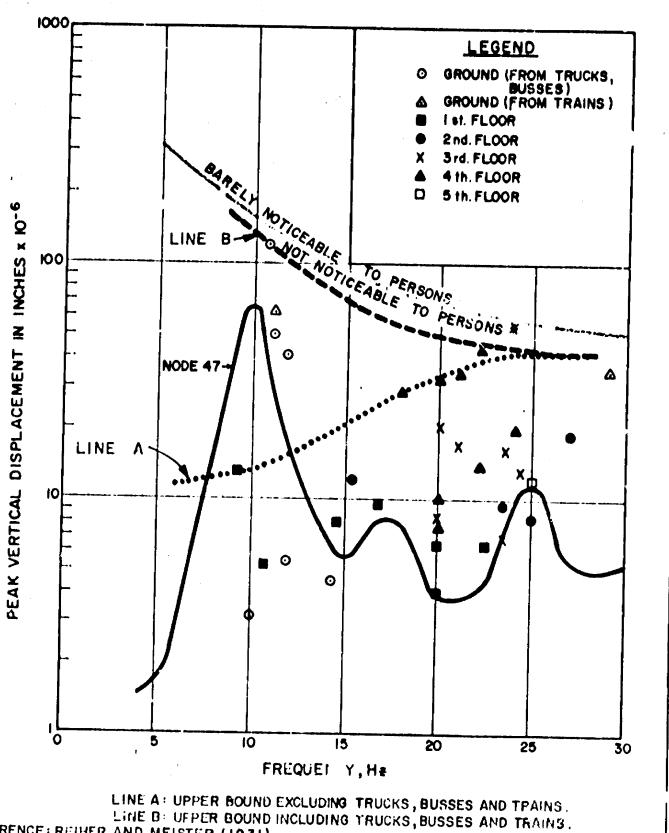




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COMPARISON OF MAXIMUM PREDICTED VERTICAL VIBRATION WITH PRESENT AMBIENT VIBRATIONS

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5-11-71

DRAWING NO. 70-197-A12

FR 9791-870 FIGURE II-36



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